## NOTICE OF FILING AND HEARING

This document was lodged electronically in the FEDERAL COURT OF AUSTRALIA (FCA) on 22/07/2020 12:40:03 PM AEST and has been accepted for filing under the Court's Rules. Filing and hearing details follow and important additional information about these are set out below.

#### **Filing and Hearing Details**

Document Lodged: File Number:	Originating Application Starting a Representative Proceeding under Part IVA Federal Court of Australia Act 1976 - Form 19 - Rule 9.32 NSD580/2018
File Title:	RAFFAELE WEBB v GETSWIFT LTD ACN 604 611 556 & ANOR
Registry:	NEW SOUTH WALES REGISTRY - FEDERAL COURT OF AUSTRALIA
Reason for Listing:	To Be Advised
Time and date for hearing:	To Be Advised
Place:	To Be Advised



Dated: 22/07/2020 2:29:10 PM AEST

#### **Important Information**

As required by the Court's Rules, this Notice has been inserted as the first page of the document which has been accepted for electronic filing. It is now taken to be part of that document for the purposes of the proceeding in the Court and contains important information for all parties to that proceeding. It must be included in the document served on each of those parties.

The Reason for Listing shown above is descriptive and does not limit the issues that might be dealt with, or the orders that might be made, at the hearing.

The date and time of lodgment also shown above are the date and time that the document was received by the Court. Under the Court's Rules the date of filing of the document is the day it was lodged (if that is a business day for the Registry which accepts it and the document was received by 4.30 pm local time at that Registry) or otherwise the next working day for that Registry.

Sia Lagos

Registrar

Form 19 Rule 9.32



# <u>Further</u> Amended Originating application starting a representative proceeding under Part IVA of the *Federal Court of Australia Act* 1976

No. NSD 580 of 2018

Federal Court of Australia District Registry: New South Wales Division: General

## RAFFAELE WEBB

Applicant

## GETSWIFT LIMITED (ACN 604 611 556)

First Respondent

## JOEL MACDONALD

Second Respondent

#### To the Respondents

The Applicant applies for the relief set out in this <u>further amended</u> application.

The Court will hear this <u>further amended</u> application, or make orders for the conduct of the proceeding, at the time and place stated below. If you or your lawyer do not attend, then the Court may make orders in your absence.

You must file a notice of address for service (Form 10) in the Registry before attending Court or taking any other steps in the proceeding.

### Time and date for hearing:

Place:	Federal Court of Australia	
	Law Courts Building, Queens Square	
	Sydney VIC 2000	

Date:

Signed by an officer acting with the authority of the District Registrar

Filed on behalf of	Raffaele Webb, Applicant			
Prepared by	Timothy Mark Luke Finney			
Law firm	Phi Finney McDonald			
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Address for service	Level 4, 325 Flinders Lane, Melbourne VIC 3000			



## **Details of claim**

Terms used in the Second Further Amended Statement of Claim have the same meaning when used in this Further Amended Application.

On the grounds stated in the accompanying <u>Second Further Amended</u> Statement of Claim, the Applicant claims for himself and the Group Members:

- A declaration that the Respondents contravened section 1041E and section 1041H(1) of the Corporations Act 2001 (Cth) (Corporations Act) and/or section 12DA of the Australian Securities and Investments Commission Act 2001 (Cth) (ASIC Act) and/or section 18 of the Australian Consumer Law (ACL) by the conduct alleged in the Second Further Amended Statement of Claim.
  - (a) making one or more or any of:
    - i. the Fruit Box Group Representations;
    - ii. the Fruit Box Group Macdonald Representations;
    - iii. the April 2017 CBA Representations;
    - iv. the April 2017 CBA Macdonald Representations;
    - v. the Lone Star Grill Representations;
    - vi. the Lone Star Grill Macdonald Representations;
    - vii. the Pizza Hut Representations;
    - viii. the Pizza Hut Macdonald Representations;
    - ix. the April Appendix 4C Representations;
    - x. the April Appendix 4C Macdonald Representations;
    - xi. the All Purpose Transport Representations;
    - xii. the All Purpose Transport Macdonald Representations;
    - xiii. the CITO Representations;
    - xiv. the CITO Macdonald Representations;
    - xv. the Hungry Harvest Representations;
    - xvi. the Hungry Harvest Macdonald Representations;
    - xvii. the FRF Couriers Representation;
    - xviii. the FRF Couriers Macdonald Representation;
    - xix. the Tranche 1 Cleansing Notice Representation;



<del>xx.</del>	the Takeaway.com Representations;
<del>xxi.</del>	the Takeaway.com Macdonald Representations;
<del>xxii.</del>	the Tranche 2 Cleansing Notice Representation;
xxiii.	the Fantastic Furniture Representations;
<del>xxiv.</del>	the Fantastic Furniture Macdonald Representations;
<del>xxv.</del>	the Bare Burger Representations;
<del>xxvi.</del>	the Bare Burger Macdonald Representations;
<del>xxvii.</del>	the NA Williams Representations;
<del>xxviii</del>	the NA Williams Macdonald Representations;
<del>xxix.</del>	the Johnny Rockets Representations;
XXX.	the Johnny Rockets Macdonald Representations;
<del>xxxi.</del>	the October Appendix 4C Representation;
<del>xxxii.</del>	the October Appendix 4C Macdonald Representation;
<del>xxxiii</del>	.the Yum! Brands Representations;
xxxiv	.the Yum! Brands Macdonald Representation;
<del>xxx∨.</del>	the Amazon Representation;
<del>xxxvi</del>	the Amazon Macdonald Representation;
<del>xxxvi</del>	i. the December 2017 CBA Representations;
<del>xxxvi</del>	ii. the December 2017 CBA Macdonald Representations;
xxxix	. the Toast Representation;
<del>xl.</del>	the Toast Macdonald Representation;

- 2. Damages pursuant to section 1041I(1) of the Corporations Act and/or section 12GF(1) of the ASIC Act and/or section 236 of the ACL on behalf of the Applicants and the group members, for loss suffered by reason of the Respondents' contraventions referred to in the paragraph immediately preceding.
- 3. A declaration pursuant to section 1317E(1) of the Corporations Act that the First Respondent contravened section 674(2) of the Corporations Act and ASX Listing Rule 3.1 by the conduct alleged in the Second Further Amended Statement of Claim. failing to notify the Australian Securities Exchange (ASX) of:

(a) one or more or any of:



- i. the Fruit Box Information;
- ii. the All Purpose Transport Information;
- iii. the CITO Information;
- iv. the Fantastic Furniture Information;
- v. the Client Contract Information;
- 4. A declaration that the Second Respondent was involved in the First Respondent's contraventions of section 674 of the Corporations Act as set out in paragraph 3, and thereby contravened section 674(2A) of the Corporations Act.
- 5. An order pursuant to section 1317HA(1) of the Corporations Act that the Respondents compensate the Applicants and the group members for the loss or damage suffered by them as a result of the First and Second Respondents' contraventions of section 674(2) and/or 674(2A) of the Corporations Act respectively.
- 5A. An order for an aggregate award of damages in a sum determined by the Court as being the total principal monetary amount of damages to be paid to the Applicant and Group Members by the Respondents pursuant to section 33Z(1)(e), further or alternatively section 33Z(1)(f), further or alternatively section 33Z(1)(g), of the Federal Court of Australia Act 1976 (Cth) (Aggregate Damages), and the formula by which each individual Group Member's loss is to be determined and satisfied from the Aggregate Damages.
- 6. Interest.
- 7. Costs.
- 8. Such further or other relief as the Court may deem appropriate.

## Questions common to claims of group members

- 1. The questions of law or fact common to the claims of the Applicant and Group Members are:
  - (a) whether, during the period between 24 February 2017 to 19 January 2018, the First Respondent made:
    - i. the Fruit Box Representations;
    - ia. the Continuation of the Fruit Box Group Contract Representations;
    - ii. the April 2017 CBA Representations;
    - iii. the Lone Star Grill Representations;



- iv. the Pizza Hut Representations;
- v. the April Appendix 4C Representations;
- vi. the All Purpose Transport Representations;
- via. the Continuation of the All Purpose Transport Revenue Representation;
- vii. the CITO Representations;
- viii. the Hungry Harvest Representations;
- ix. the FRF Couriers Representations;

## ixa. the Continuation of the FRF Couriers Revenue Representation;

- x. the Takeaway.com Representations;
- xi. the Tranche 1 Cleansing Notice Representations;
- xii. the Tranche 2 Cleansing Notice Representations;
- xiii. the Fantastic Furniture Representations;

#### xiiia. the Continuation of the Fantastic Furniture Contract Representations;

#### xiiib. the BSR Franchising Representations;

- xiv. the Bare Burger Representations;
- xv. the N A Williams Representations;
- xvi. the Johnny Rockets Representations;
- xvia. the Continuation of the Johnny Rockets Contract Representations;
- xvii. the October Appendix 4C Representation;
- xviii. the Yum! Brands Representations;
- xix. the First Amazon Representations;

#### xixa. the Second Amazon Representations;

xx. the December 2017 <u>CBA</u> Representations; and



xxi. the Toast Representations,

xxii. the Progressive and Cumulative Representations,

## (together, GetSwift Representations);

- (b) whether the making of any or all the GetSwift Representations constituted conduct:
  - i. in relation to a financial product for the purposes of section 1041H of the Corporations Act;
  - ii. in relation to a financial service for the purposes of section 12DA of the ASIC Act; and
  - iii. in trade or commerce for the purposes of section 12DA of the ASIC Act and/or section 18 of the ACL.
- (c) whether the GetSwift Representations were misleading or deceptive or likely to mislead or deceive in contravention of section 1041H of the Corporations Act and/or section 12DA of the ASIC Act and/or section 18 of the ACL;
- (d) whether, during the period between 24 February 2017 to 19 January 2018, the Second Respondent made engaged in conduct which resulted in the making of:
  - i. the Fruit Box Macdonald Representations;
  - ii. the April 2017 CBA Macdonald Representations;
  - iii. the Lone Star Grill Macdonald Representations;
  - iv. the Pizza Hut Macdonald Representations;
  - v. the April Appendix 4C Macdonald Representations;
  - vi. the All Purpose Transport Macdonald Representations;
  - vii. the CITO Macdonald Representations;
  - viii. the Hungry Harvest Macdonald Representations;
  - ix. the FRF Couriers Macdonald Representations;
  - x. the Takeaway.com Macdonald Representations;
  - xi. the Fantastic Furniture Macdonald Representations;



xia. the BSR Franchising Macdonald Representations;

- xii. the Bare Burger Macdonald Representations;
- xiii. the N A Williams Macdonald Representations;
- xiv. the Johnny Rockets Macdonald Representations;
- xv. the October Appendix 4C Macdonald Representation;
- xvi. the Yum! Brands Macdonald Representations;
- xvii. the Amazon Macdonald Representations; and
- xviii. the December 2017 Macdonald Representations;; and

xix. the Toast Macdonald Representations,

(together, Macdonald Representations);

- (e) whether the conduct of the Second Respondent which resulted in the making of any or all the Macdonald Representations constituted conduct:
  - i. in relation to a financial product for the purposes of section 1041H of the Corporations Act;
  - in relation to a financial service for the purposes of section 12DA of the ASIC Act; and
  - iii. in trade or commerce for the purposes of section 12DA of the ASIC Act and/or section 18 of the ACL.
- (f) whether the Macdonald Representations were misleading or deceptive or likely to mislead or deceive in contravention of section 1041H of the Corporations Act and/or section 12DA of the ASIC Act and/or section 18 of the ACL;
- (g) whether:
  - i. the Fruit Box Announcement;
  - ii. the April 2017 CBA Announcement;
  - iii. the Lone Star Grill Announcement;
  - iv. the Pizza Hut Announcement;



- v. the April Appendix 4C Announcement;
- vi. the All Purpose Transport Announcement;
- vii. the CITO Announcement;
- viii. the Hungry Harvest Announcement;
- ix. the FRF Couriers Announcement;
- x. the Takeaway.com Announcement;
- xi. the Tranche 1 Cleansing Notice Announcement;
- xia. the Tranche 2 Cleansing Notice Announcement;
- xii. the Fantastic Furniture Announcement;
- xiia. the BSR Franchising Announcement;
- xiii. the Bare Burger Announcement;
- xiv. the N A Williams Announcements;
- xv. the Johnny Rockets Announcement;
- xvi. the October Appendix 4C Announcement;
- xvii. the Yum! Brands Announcement;
- xviii. the First Amazon Announcement;
- xix. the Second Amazon Announcement; and
- xx. the December 2017 CBA Announcement,; and
- xx. the Toast Announcement,

#### (together Announcements);

#### were:

- xxi. false in a material particular, or materially misleading;
- xxii. likely to induce persons to apply for or acquire financial products; and



- xxiii. known by, or reasonably ought to have been known by, the First Respondent to be false in a material particular and further, or in the alternative, materially misleading, in contravention of section 1041E(2) of the Corporations Act;
- xxiv. known by, or reasonably ought to have been known by, the Second Respondent to be false in a material particular and further, or in the alternative, materially misleading, in contravention of section 1041E(2) of the Corporations Act;
- (h) whether the making of the Representations caused the First Respondent's share price to be higher during the Claim Period than it would have been had they not been made and, if so, to what extent or by what amount;
- (i) whether the Applicant and the Group Members are persons who suffered loss or damage by the First Respondent and the Second Respondent's contraventions of section 1041H of the Corporations Act, and/or section 1041E of the Corporations Act and/or section 12DA of the ASIC Act, and/or section 18 of the ACL and, if so, what is the proper method of assessment of that loss and damage;
- (j) whether the First Respondent was aware, for the purposes of ASX Listing Rules 3.1 and 19.12, and, if so, when, of:
  - i. the Fruit Box Group-Information;
  - ii. the Fruit Box Projection Information:
  - iii. the CBA Information;
  - iv. the Pizza Hut Information;
  - v. the All Purpose Transport Information;
  - vi. the CITO Information;
  - vii. the FRF Couriers Information;
  - viii. the Fantastic Furniture Information;
  - ix. the N A Williams Information;
  - x. Johnny Rockets Information:
  - xi. Yum! Information;



- xii. the Amazon Information; and
- xiii. the Amazon Relationship Re-evaluation Information,
- xiv. the Client Contract Information,

## (together Material information);

- (k) whether any or all of the Material Information was:
  - i. information that a reasonable person would expect to have a material effect on the price or value of the First Respondent's shares within the meaning of ASX Listing Rule 3.1 and section 674(2)(c)(ii) of the Corporations Act; and
  - ii. not generally available within the meaning of section 676 of the Corporations Act;
- whether the First Respondent contravened section 674(2) of the Corporations Act by failing to disclose any or all of the Material Information as soon as it was aware of that information;
- (m) if any contraventions by the First Respondent of section 674(2) of the Corporations Act are established, whether the Second Respondent was involved in those contraventions and thereby contravened section 674(2A) of the Corporations Act;
- (n) whether the failure to disclose any or all of the Material Information as soon as the First Respondent was aware of that information caused the First Respondent's share price to be higher during any part of the Claim Period than it would have been had those matters been disclosed as soon as the Respondent was aware of them and, if so, to what extent or by what amount; and
- (o) whether the group members are persons who suffered loss and damage as a result of the First Respondent's contraventions of section 674(2) of the Corporations Act and the Second Respondent's contraventions of section 674(2A) of the Corporations Act, and, if so, what is the proper method of assessment of that loss or damage<u>; and</u>
- (p) whether the Court ought to make an order for an aggregate award of damages in a sum determined by the Court as being the total principal monetary amount of damages to be paid to the Applicant and Group Members by the First and Second Respondents pursuant to section 33Z(1)(e) and/or section 33Z(1)(f) and/or section 33Z(1)(g) of the Federal Court of Australia Act 1976 (Cth) (Aggregate Damages), and if so, the formula by which each individual Group Member's loss is to be



## determined and satisfied from the Aggregate Damages.

## Representative action

- 1. The Applicant brings this application as a representative party under Part IVA of the *Federal Court of Australia Act 1976* (Cth).
- 2. The group members to whom this proceeding relates are those persons who:
  - (a) acquired an interest in fully paid ordinary shares in the First Respondent (GetSwift Limited, GSW.AX) during the period from 24 February 2017 until the close of trading on 19 January 2018 inclusive (Claim Period);
  - (b) suffered loss and damage by or resulting from the contravening conduct of the Respondents as described in the <u>Second Further Amended</u> Statement of Claim; and
  - (c) are not or were not during the Claim Period:
    - i. directors or officers or a close associate (as defined in section 9 of the *Corporations Act*) of the First Respondent; or
    - ii. a related party (as defined in section 228 of the *Corporations Act*) of the First Respondent; or
    - iii. a related body corporate (as defined in section 50 of the *Corporations Act*) of the First Respondent; or
    - iv. an associated entity (as defined in s 50AAA of the *Corporations Act*) of the First Respondent; or
    - v. a Justice, Registrar, District Registrar, or Deputy District Registrar of the High Court of Australia or the Federal Court of Australia.

## Applicant's address

The Applicant's address for service is:	Phi Finney McDonald		
	Level 4, 325 Flinders Lane,		
	Melbourne VIC 3000		
Email:	tim.finney@phifinneymcdonald.com		
The Applicant's address is:	c/- Phi Finney McDonald <u>Level 4, 325 Flinders Lane,</u> Melbourne VIC 3000		



# Service on the Respondents

It is intended to serve this application on the Respondents.

Date: xx July 2020

Signed by Timothy Michael Luke Finney Lawyer for the Applicant